Ethical Challenges in the Collection and Use of People-Provided Data

Camille Nebeker, EdD, MS
Department of Family Medicine & Public Health
School of Medicine, UC San Diego
@cnebeker

2018 Chief Medical/Scientific Officers Conference Sharing Patient-Centered Outcomes Data: Building a Better Mousetrap Based on Learnings from the Research Community Washington, DC October 17, 2018

Qualcomm Institute at UC San Diego

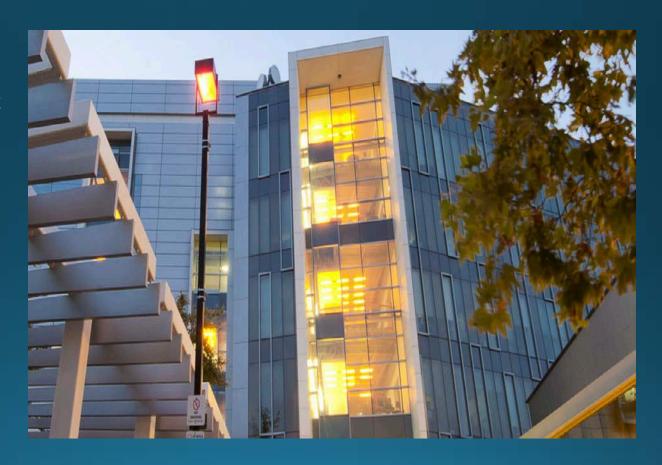
People

- 350 Faculty
- 120 Technical & Support Staffs
- 200 Industry Partners
- Students

Core Areas

- Culture
- Energy
- Environment
- Health
- Technology

@cnebeker



Center for Wireless and Population Health Systems



The Design Lab



My Work

NIH

NSF

ORI

RWJF

UC San Diego

ACTRI

CA-DOR

IBM

Research Literacy: BRIC is education designed for novice-research team members to increase research literacy and awareness of ethical practices. The BRIC programs have received support from the NIH, NSF, ORI and local sources.



@UCSD_BRIC | bric.ucsd.edu

Tech-Ethics: CORE is a learning "ethics" community developed to facilitate the sharing of resources and access to digital health research experts to foster ethical and responsible research and innovation. The CORE is



supported by the Robert Wood Johnson Foundation. @COREethics | thecore.ucsd.edu

My Work

NIH

NSF

ORI

RWJF

UC San Diego

ACTRI

CA-DOR

IBM

Citizen Science via Participant-Led Research: People and groups motivated to conduct self-study (e.g., QS, DIY), yet they lack the infrastructure needed. We are working with **Project Apollo** patients to reflect upon and apply ethical principles, design self-studies, analyze data and share what they learn.

Al to Support Healthy Aging: We are working with residents of a retirement community to identify barriers to tech adoption and learn whether they want to be involved in co-design of tech to support their aging independently.

All of Us Research Program infrastructure development and bioethics research. We conducted qualitative research to learn about informed consent via "broad" consent and return of information to achieve return of value.

Determinants of Health



Current estimates indicate genetics explain an important but modest portion (e.g., ~30%) of an individual's variability in health. Health behaviors (e.g., physical inactivity, diet, tobacco use) explain an additional 40% of variance, with the remaining variance attributed to environment factors, social circumstances, and healthcare utilization and delivery.

Image courtesy of Dr. Kevin Patrick, Principal Investigator of the Health Data Exploration Project











Social Media



Visual Methods

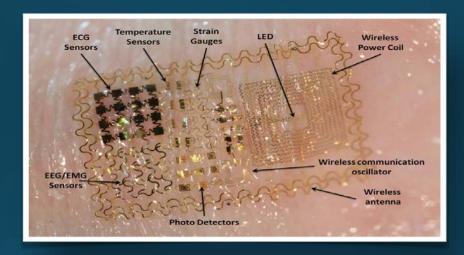
Increasing interest in studying "free-living" behavior "in the wild" prompts increased use of visual methods.







Sensing Methods



Passive, Pervasive, Ubiquitous

With permission of Dr. Todd Coleman, UC San Diego Professor of Electrical & Computer Engineering and CORE Advisor

Social Media Methods



New Methods = New Data

| Wearable Cameras | GPS Tracking | Smart Phones | Social Media |
|---------------------|--------------|------------------|--|
| | | " | The CORE USBD BUCSDINACORE - Apr 5 Ratheed Al Kottop proudly represents our ICORETHICS team BUCSDH 4PLACO Health World Perif leath Yought Health Heal |
| | | Activity Details | |

Commercial Products



Subject to Fitbit's adherence with the privacy settings you select within the Fitbit Services, you hereby grant to Fitbit a perpetual, irrevocable, non-exclusive, worldwide, royalty-free license, with the right to sublicense, to reproduce, distribute, transmit, publicly perform, publicly display, digitally perform, modify, create derivative works of, and otherwise use and commercially exploit any text, photographs or other data and information you submit to the Fitbit Services ...

Characteristics of Digital Research

We live in an increasingly smart and connected environment and research methods and tools are changing.

Now people can monitor or be monitored and/or intervened with 24/7, on the fly and in real time.

Research isn't exclusively an academic venture – industry, non profits and citizens are in the game.

We can now know 'everything' at a granular level using new digital technologies.

Promising data anonymity is not realistic.

Not all in this emerging digital research ecosystem are regulated and not all are socialized in ethical practices.



Key Ethical Legal and Social Areas



- Research Literacy
- Cultural Literacy
- Data Literacy
- Bystander Rights
- Risk / Benefits

- OHRP
- FDA
- Liability
- Legitimacy
- Intellectual Property

- Downstream impact
- Unknown Unknowns
- Obligations to share
- Sociotechnical

EMPIRICAL RESEARCH ON RESEARCH ETHICS

IRB Participant **Participant Participant** Content Analysis NIH RePORTER Digital Divide Focus Groups **Terms & Conditions** Survey Threats to Inconsistent Risk Participant Device Comfort Legal Risks Privacy Policy Missing Nature & Scope Assessment Privacy MISST research **IRB** Expertise Above Avg. Reading Bystander Rights Consent Gaps Consent Gaps increased 384% Gaps Level between 2005-2015 35% PA Interest in Data Management 31% Substance Use Privacy Bystanders & Not Accessible to Sharing **Social Implications** Participants Youth 25% Contextual Resources 15.6% disease mgmt

Precision Health/Medicine Considerations

| Capacity Building | Accessible Education Authentic Engagement |
|--------------------------|---|
| Informed Consent | Research Literacy Data Literacy |
| Bystander Rights | • Right to Consent • Governance |
| Risk Assessment | • Subjective • Evidence-based |
| Data Management | Security Sharing - Return of Information |
| Team Science | Common Language Involvement of Behavioral and Social Sciences |
| Participants as Partners | Authentic Engagement Capacity Development |

Nebeker, C., Harlow, J., Giacinto-Espinoza, R., Linares-Orozco, R., Bloss, C., Weibel, N. (2017) Ethical and regulatory challenges of research using pervasive sensing and other emerging technologies: IRB perspectives, *American Journal of Bioethics: Empirical Bioethics* 8:4, 266-276, DOI: 10.1080/23294515.2017.1403980



We asked: How Might People Shape Ethical Practices?



Tool Makers

make innovative technologies used in health research



Participants

participate in studies contribute data to advance knowledge



Researchers

conduct health studies using 21st century tools/methods



IRBs

reviews
research risks
and benefits to
make sure
participants
are protected
from harm



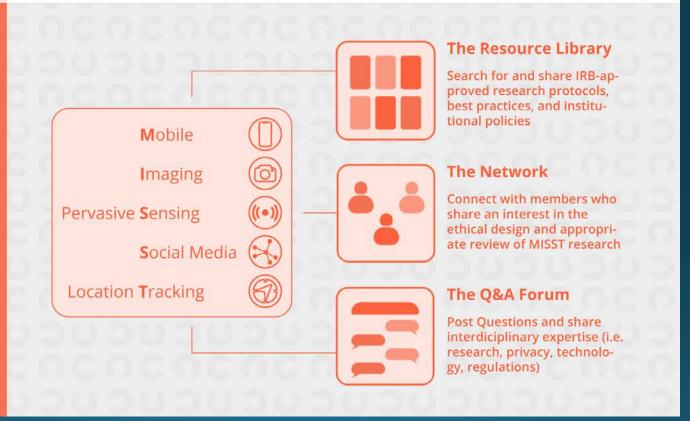
The CORE is a "research ethics" learning community where people share their expertise to shape ethical practices in the digital age.

thecore.ucsd.edu

- **⋙** @COREethics
- in linkedin.com/company/core-ucsd









John Harlow Post-Doctoral Scholar



Cinnamon Bloss Co-Investigator

Acknowledgements





Araceli López-Arenas Program Manager



Sarah Dunseath Research Assistant



Ruby Linares-Orozco Research Assistant



Janet Johnson CIC Fellow



Rasheed Al Kotob CIC Fellow



Calvin Gomez CIC Fellow



CIC Fellow



Michelle Takemoto Black Rebeca Espinoza Giacinto CIC Fellow



Todd Coleman Advisory Board Member



David Borasky, Jr.



Michelle De Mooy



Mary L. Gray Advisory Board Member Board Member



Eric Hekler





Paul Kelly



Ada Sue Selwitz



Donna Spruijt-Metz



Katie Shilton Advisory Board Member Advisory Board Member



Bonnie Spring













Anthony E. Magit

Precision Medicine/Health Ecosystem



Digital Research Making Headlines





Facebook and Cambridge Analytica: What

Fallout Widens

Our report that a political firm hired by the

Trump campaign acquired access to private

Trump campaign acquired access to private

Trump campaign acquired success to bas

Analytica firm hired by the

Trump campaign acquired success to private

Researchers Caused an Uproar By Publishing Data From 70,000 OkCupid Users

Don't quote me: reverse identification of research participants in social media studies

John W. Ayers, Theodore L. Caputi, Camille Nebeker & Mark Dredze

npj Digital Medicine 1, Article number: 30 (2018) | Download Citation 4

What Might be Unknown Unknowns?

HEAL THYSELF, ALGORITHM

If Al is going to be the world's doctor, it needs better textbooks

By Dave Gershgorn • September 6, 2018

Fitbit leans hard into healthcare with a new enterprise offering

Fitbit Care can help businesses and organizations keep employees healthy.



What Can You Do to Change the Culture?



Courtesy of DJ Patil

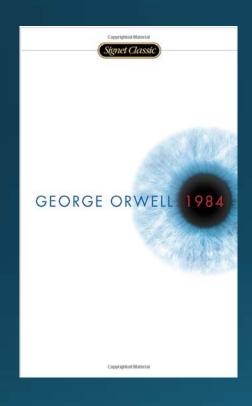
What Can Employees Do?

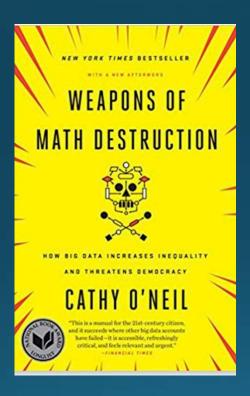
what if every technologist asked during their interview how the org deals with ethical issues?

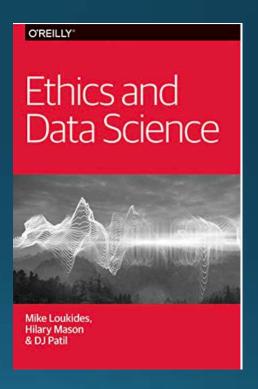
https://www.linkedin.com/pulse/ethics-data-science-dj-patil/



What Can You Read?







https://www.amazon.com/dp/Bo7GTC8ZN7/ref=cm_sw_r_cp_ep_dp_fKyLBboYWVH94

What Can Organizations Do?

Develop a community culture where it is safe for people to question the questionable practices

Develop a code of ethics as a community

Get to know a tech ethicist

Know where to find resources

Contribute to shaping ethical practices in the digital age



Questions?

Contact Info:
Camille Nebeker
@cnebeker
nebeker@eng.ucsd.edu

Sources

- https://www.linkedin.com/pulse/ethics-data-science-dj-patil/
- http://fortune.com/2016/05/18/okcupid-data-research/
- https://www.mobihealthnews.com/content/digital-health-technologies-come-responsibility-imagining-unintended-consequences
- https://docs.google.com/document/d/1SN6hYeKe3eRK6x9D oSr7GpCA4nirpyo3u68xG1A6NDs/edit#
- https://qz.com/1367177/if-ai-is-going-to-be-the-worlds-doctor-it-needs-better-textbooks/
- https://www.engadget.com/2018/09/19/fitbit-care-healthwellness-coaching-humana/



Related Publications

- Dunseath, S.E., Weibel, N., Bloss, C.S., Nebeker, C. (2018). NIH support of mobile, imaging, pervasive sensing, social media and location tracking (MISST) research: laying the foundation npj Digital Medicine (2018) 1:1; doi:10.1038/s41746-017-0001-5
- Das G, Cheung C, Nebeker C, Bietz M, Bloss C (2018). Privacy policies for apps targeted toward youth: Descriptive analysis of readability. JMIR Mhealth Uhealth;6(1):e3URL: http://mhealth.jmir.org/2018/1/e3DOI: 10.2196/mhealth.7626PMID: 29301737
- Nebeker, C., Harlow, J., Giacinto-Espinoza, R., Linares-Orozco, R., Bloss, C., Weibel, N. (2017) Ethical and regulatory challenges of research using pervasive sensing and other emerging technologies: IRB perspectives, American Journal of Bioethics: Empirical Bioethics 8:4, 266-276, DOI: 10.1080/23294515.2017.1403980
- Nebeker, C., Murray, K.E., Holub, C., Haughton, J., and Arredondo, E. (2017). Acceptance of mobile health in communities underrepresented in biomedical research:
 Barriers and ethical considerations for scientists. JMIR Mhealth Uhealth 2017;5(6):e87URL: http://mhealth.jmir.org/2017/6/e87DOI: 10.2196/mhealth.6494 PMID:
 28659258
- Torous J, Nebeker C. Navigating ethics in the digital age: Introducing Connected and Open Research Ethics (CORE), a tool for researchers and Institutional Review Boards. J Med Internet Res 2017;19(2):e38URL: https://www.imir.org/2017/2/e38DOI: 10.2196/imir.6793 PMID: 28179216 PMCID: 5322198.
- Bloss C, Nebeker C, Bietz M, Bae D, Bigby B, Devereaux M, Fowler J., Waldo A, Weibel N, Patrick K, Klemmer S, & Melichar L. (2016) Re-Imagining Human Research Protections for 21st Century Science. J Med Internet Res.; 18(12):e329. doi: 10.2196/jmir.6634.
- Nebeker, C., Lagare, T., Takemoto, M. et al. (2016) Engaging participants to inform the ethical conduct of mobile imaging, pervasive sensing and location tracking research, Translational Behavioral Medicine: Practice, Policy and Research doi:10.1007/s13142-016-0426-4. https://www.ncbi.nlm.nih.gov/pubmed/27688250
- Nebeker, C., Linares-Orozco, R., & Crist, K., (2015). A multi-case study of research using mobile imaging, sensing and tracking technologies to objectively measure behavior: Ethical issues and insights to guide responsible research practice. Journal of Research Administration 46(1):118-137
- Nebeker, C. and Lopez-Arenas, A. (2016). Building Research Integrity and Capacity (BRIC): An educational initiative to increase research literacy among community health workers and promotores. Journal of Biology and Microbiology Education (17): 41-45 DOI: http://dx.doi.org/10.1128/jmbe.v17i1.1020

